

J1130 U.S. PTO  
03/01/0203/04/02  
AJC971 U.S.P.T.O.  
03/01/02JC10/087274  
03/01/02

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1.53(b))

Attorney Docket No. KLR 809

First Inventor or Application Identifier Vilcauskas, et al.

Title AUDIO OWNERSHIP SYSTEM

Express Mail Label No. EL 915419779 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

1.  \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification Total pages 27  
*(preferred arrangement set forth below)*  
 - Descriptive Title of the Invention  
 - Cross References to Related Applications  
 - Statement Regarding Federally Sponsored Research  
 - Reference to Microfiche Appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (*if filed*)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
3.  Drawing(s) (35 USC 113) [Total Pages] 15
4. Oath or Declaration [Total Pages] 2
- a.  Newly executed (original or copy)  
UNSIGNED
- b.  Copy from a prior application (37 CFR §1.63(d))  
*(for continuation/divisional with Box 16 completed)*  
i.  Deletion of Inventor(s)  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.53(d)(2) and 1.33(b)

5.  Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a.  Computer readable copy  
b.  Paper copy (identical to computer copy)  
c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7.  Assignment Papers (cover sheet & document(s))
8.  37 CFR §3.73(b) Statement  Power of Attorney  
*when there is an assignee*
9.  English translation document (*if applicable*)
10.  Information Disclosure Statement (IDS) /PTO  
1449  Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
*(should be specifically itemized)*
13.  \*Small Entity Statements  
(PTO/sb/09-12)  Statement filed in prior application.  
Status still proper and desired.
14.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
15.  Other

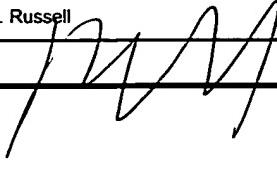
\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Group No./Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)			or <input checked="" type="checkbox"/> Correspondence address below	
Name	Kevin L. Russell					
Address	601 SW Second Ave., Suite 1600					
City	Portland	State	OR	Zip Code	97204-3157	
Country	US	Telephone	(503)227-5631		FAX	(503)228-4373
Name (print type)	Kevin L. Russell			Registration No.	38,292	
Signature				Date	March 1, 2002	

# FEE TRANSMITTAL for FY 2002

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT

\$370

Complete If Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group/ Art Unit

Attorney Docket No.

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550

Deposit Account Name Chemoff Vilhauer McClung & Stenzel

- Charge any additional fee required under 37 CFR 1.16 & 1.17

- Applicant claims small entity status. See 37CFR 1.27

2.  Payment Enclosed

Check     Credit Card     Money Order     Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	\$370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					\$370

### 2. EXTRA CLAIM FEES

Total Claims	1	-20**	=	x	9	=	0	Fee from below	Fee Paid
Indep. Claims	1	- 3**	=	x	42	=	0		
Multiple Dependent							0		

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				

\$0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

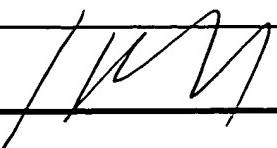
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex-partre reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 C.F.R. 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other (specify)					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$0

## SUBMITTED BY

Complete (if applicable)

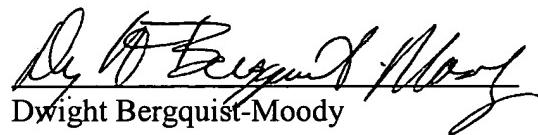
Name (print type)	Kevin L. Russell	Registration No.	38,292	Telephone	(503) 227-5631
Signature			Date	March 1, 2002	

**CERTIFICATE OF MAILING  
BY EXPRESS MAIL**

Express Mail No.: EL 915419779 US

Date of Deposit: March 1, 2002

I hereby certify that the patent application attached hereto entitled **AUDIO OWNERSHIP SYSTEM**, Andy Vilcauskas and Bryan Hunter, inventors, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

  
Dwight Bergquist-Moody